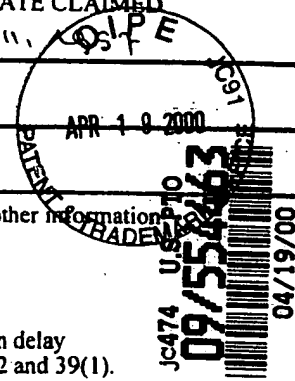


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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | |
| INTERNATIONAL APPLICATION NO. PCT/DE 98/03270 | INTERNATIONAL FILING DATE Nov 10, 1998 | PRIORITY DATE CLAIMED Nov 11, 1998 |
| TITLE OF INVENTION Multi-point, one-piece seat belt | | |
| APPLICANT(S) FOR DO/EO/US Giok Djien Go | | |



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). *See c*
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). *See M*
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment. *See C*
☒ A SECOND or SUBSEQUENT preliminary amendment. *See M*
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

list of PCT/DE 98/03270 documents

17. The following fees are listed:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Search Report has been prepared by the EPO or JPO \$910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$700.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$ 690

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
|--------------------|--------------|--------------|-----------|
| Total claims | 41 - 20 = | 21 | X \$22.00 |
| Independent claims | 5 - 3 = | 2 | X \$80.00 |

\$ 462

\$ 160

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$260.00

\$ 260

TOTAL OF ABOVE CALCULATIONS =

\$ 1572

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 786

SUBTOTAL =

\$ 786

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$

Amount to be:
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 2000 to cover the above fees is enclosed.

two 1600 + 400

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

See letter to "Deposit Accounts" Box 16

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Dr.-Ing. Giok Djien Go
Pfahlgrabenstr. 45
D-65510 Idstein
Germany

SIGNATURE

Giok Djien Go

NAME

REGISTRATION NUMBER

09/554463

List of PCT/DE 98/03270 documents

DPA = German Patent Office
EPO = European Patent Office

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- A) DPA form of 11/11/97 to apply for examination
- B) DE 197 49 780 A1
- C) Translation of DE 197 49 780 A1
- D) DPA examination report of 05/27/98 to order for subdivision of DE 197 49 780 A1 into DE 197 49 780 A1, DE 197 58 497A1 and DE 197 58 498A1.
- E) PCT-Search Form
- F) PCT-Appl. PCT/DE 98/03270 and Search report.
- G) Amended Figs., Claims and Description to EPO
- H) Amended Claims to WIPO and WIPO-Confirmation
- I) PCT-Examination Form
- J) PCT/DE 98/03270, amended by the additional description concerning the opposition to all the related prior art listed in Search report, and Examination report.
- K) Publication of PCT/DE 98/03270
- L) Amended PCT/DE 98/03270
- M) Translation thereof

PCT/DE 98/03270 pursuant the US-Patent rules, provided with claims in three versions:

1. with reference numbers
2. with reference numbers in double space and
3. without reference numbers

Publications noted in PCT/DE 98/03270 such as

- [6] Police accident report and medical reports
- [7] Police accident report and photos documenting the steering wheel and -column totally deformed by the head
- [8] Police accident report and newspaper documenting the instant death of a 21 years-old female driver due to the head impact with the window pane.
- [9] 34-page report by Go concerning severe/fatal injuries, submarining, head impacts and whiplash resulted from failure of conventional seat belts etc.

Nine related prior art listed in Search report (see F and K) are enclosed.

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Germany